

38910

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Hien D. MA et al.

Serial No.: 09/388,926

Filed: September 2, 1999

For: **METHOD AND APPARATUS FOR  
PROVIDING PREPAID MUSIC CARD FOR  
DECIPHERING RECORDED BROADCAST:  
AUDIO SIGNALS**



Attention: Box Missing Parts

COMPLETION OF APPLICATION UNDER 37 C.F.R. § 1.53

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

In response to the Communication dated September 20, 1999, copy attached, submitted herewith are:

- ☒ An executed Declaration and Power of Attorney.
- ☒ Filing fee, \$760.00, surcharge \$130.00, and assignment recording fee \$40.00.
- ☒ An assignment of the invention to XM Satellite Radio, Inc.
- ☐ Additional claims fees in the amount of \$\_\_\_ for \_\_\_ total claims over 20.
- ☐ A certified copy of a \_\_\_ patent application and claim for priority.
- ☐ Verified statement(s) to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27.
- ☐ Applicant(s) petition(s) for an extension of \_\_\_ month(s) to respond and submits herewith the fee of \$\_\_\_.
- ☐ Please charge Deposit Account No. 18-2220 in the amount of \$\_\_\_. A duplicate copy of this sheet is attached.
- ☒ A check in the amount of \$930.00 is attached.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 18-2220. A duplicate copy of this sheet is attached.
  - ☒ Any additional excess claim fees under 37 C.F.R. § 1.16.
  - ☒ Any additional patent application processing fees under 37 C.F.R. § 1.17.

Dated: October 5, 1999

Robert S. Green  
Reg. No. 41,800

Roylance, Abrams, Berdo & Goodman, L.L.P.  
1225 Connecticut Avenue, N.W., Suite 315  
Washington, D.C. 20036-2680  
(202) 659-9076

Compt in Nov 20, 1999



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/388,926 09/02/99 MA H 38910

ROBERT S GREEN  
ROYLANCE ABRAMS BERDO & GOODMAN  
1225 CONNECTICUT AVENUE NW  
WASHINGTON DC 20036



NOT ASSIGNED

2766

DATE MAILED:

09/20/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 890.00.

☒ 1. The statutory basic filing fee is:  
☒ missing.  
☐ insufficient.  
Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.  
\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.  
\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.  
\$ \_\_\_\_\_ for multiple dependent claim surcharge.  
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:  
☒ is missing or unexecuted.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.  
An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☒ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☒ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.  
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

*L. Dillon*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

**A copy of this notice MUST be returned with the reply.**

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

10/06/1999 CCETIN 00060089 09388926  
01 FC:101 760.00 DP  
02 FC:105 130.00 DP

PATENT  
38910

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of

Hien D. MA et al.

Serial No.: 09/388,926

Filed: September 2, 1999

For: METHOD AND APPARATUS FOR  
PROVIDING PREPAID MUSIC CARD FOR  
DECIPHERING RECORDED BROADCAST  
AUDIO SIGNALS

Group Art Unit: 2766

Examiner:

**REQUEST FOR CORRECTED FILING RECEIPT**

Assistant Commissioner for Patent  
Washington, D.C. 20231

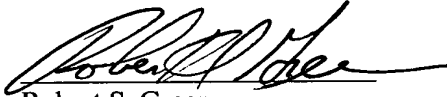
Sir:

It is respectfully requested that the filing receipt in the above-identified application be corrected as indicated on the attached copy.

Since the error was apparently caused by the Patent Office, Applicants believe that no fee is due for correction of the filing receipt.

Respectfully submitted,

Dated: October 5, 1999

  
Robert S. Green  
Reg. No. 41,800

**CORRESPONDENCE ADDRESS**

Roylance, Abrams, Berdo & Goodman LLP  
1225 Connecticut Avenue, N.W., Suite 315  
Washington, D.C. 20036  
(202) 659-9076

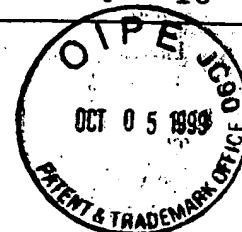
FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/388,926	09/02/99	2766	\$0.00	38910	4	18	3

ROBERT S GREEN  
ROYLANCE ABRAMS BERDO & GOODMAN  
1225 CONNECTICUT AVENUE NW  
WASHINGTON DC 20036



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) HIEN D. MA.

and ARGYRIOS A. CHATZIPETROS

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 09/17/99

TITLE

METHOD AND APPARATUS FOR PROVIDING PREPAID MUSIC CARD FOR DECIPHERING  
RECORDED BROADCAST AUDIO SIGNALS

PRELIMINARY CLASS: 380

*JEH/STL/H*

Doc'd <i>JEH</i>	File <i>3890</i>
Rec'd	
SEP 21 1999	
ROYLANCE, ABRAMS BERDO & GOODMAN, L.L.P.	
BY <i>JEH</i>	

DATA ENTRY BY: DILLON, LAWANDA

TEAM: 03 DATE: 09/17/99

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(See reverse for new important information)